PCT

WORLD INTELLECTUAL PROPERTY International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER TH

8 September 1994 (08.09.94)

WO 9607688A1

(51) International Patent Classification 6:		(11) International Publication Number:	WO 96/07688
C08G 63/20, 63/78, C08J 3/28	A1	(43) International Publication Date:	14 March 1996 (14.03.96)

SE

(21) International Application Number: PCT/SE95/01013

(22) International Filing Date: 8 September 1995 (08.09.95)

(71) Applicant (for all designated States except US): INSTITUTET POLYMERUTVECKLING AB [SE/SE]; P.O. Box 32, S-182 51 Djursholm (SE).

(72) Inventors; and
 (75) Inventors/Applicants (for US only): RÅNBY, Bengt [SE/SE];
 Stenbocksvägen 21, S-182 62 Djursholm (SE). WENFANG,

Shi [CN/CN]; University of Science and Technology of China, Jinzhai Road 96, Heifei Anhui 230026 (CN).

(74) Agents: 1VERSEN HASSELROT, Eva et al.; L.A. Groth & Co. KB, P.O. Box 6107, S-102 32 Stockholm (SE).

(81) Designated States: AM, AT, AU, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LT, LU, LV, MD, MG, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TT, UA, UG, US, UZ, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, TT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG), ARIPO patent (KE, MW, SD, SZ, UG).

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(54) Title: RADIATION CURABLE RESINS COMPRISING HYPERBRANCHED POLYESTERS

(57) Abstract

(30) Priority Data:

9402994-9

Hyperbranched polyester of a polyol with 3 to 10 reactive hydroxyl groups, preferably of equivalent reactivity, and an aromatic polycarboxylic anhydride with 2 to 4 carboxyl groups, preferably 3 carboxyl groups, each hydroxyl group of the polyol forming an ester linkage with one anhydride group of the polycarboxylic anhydride, and further glycidyl (meth)acrylate or allyl glycidyl ether forming ester linkages with the remaining carboxyl groups of the anhydride and free hydroxyl groups. Further in the hyperbranched polyester (meth)acrylic anhydride and/or an aliphatic carboxylic anhydride form ester linkages with the free hydroxyl groups. The invention also comprises a process for the production of hyperbranched polyesters and such polyesters obtainable by the process. The hyperbranched polyesters can be used as resins, curable by UV irradiation, for the production of coatings, adhesives, laminates, foils and thin films and fibre-reinforced composites.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IÈ	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belanus	KE	Кепуа	RO	Romania
CA.	Canada	KG	Kyrgystan	RU	Russian Federation
CTF	Central African Republic	KP	Democratic People's Republic	SD	Sudan
CG	Congo		of Korea	SE	Sweden
СН	Switzerland	KR	Republic of Korea	SI	Slovenia
CI.	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroon	ŭ	Liechtenstein	SN	Senegal
CN	China	LK	Sri Lanka	TD	Chad
cs	Czechoslovakia	LU	Luxembourg	TG	Togo
CZ.	Czech Republic	LV	Latvia	ŢĴ	Tajikistan
	•	MC	Monaco	ΤŢ	Trinidad and Tobago
DE	Gennany	MD	Republic of Moldova	UA	Ukraine
DK	Denmark		•	US	United States of America
ES	Spain	MG	Madagascar		
Pī	Finland	ML	Mali	UZ	Uzbekistan
FR	France	MN	Mongolia	VN	Viet Nam
GA	Gabon				

mers.

٦

Radiation curable resins comprising hyperbranched polyesters

The present invention relates to new hyperbranched polyesters and a process for the production thereof and curable resins comprising the polyesters.

5 Radiation-curable resins are increasingly used in various industrial applications, replacing conventional thermally cured and solvent based coatings and adhesives. The radiation-curable resins have favourable properties, e.g., high speed and low energy consumption of cure, solvent-free 10 formulations, room temperature operation and high quality end-products. The principal components of a radiation-curable resin are oligomers (or prepolymers) and comonomers. The oligomers constitute the backbone of the three-dimensional polymer network formed by curing. Important types of oligo-15 mers commonly used for coating are acrylated epoxies, acrylated polyurethanes, unsaturated polyesters and acrylated polyesters (or polyethers) which give desired properties of the final cured films. However, those oligomers usually consist of linear molecular chains. The viscosity of the 20 resin increases rapidly with increasing chain length of the oligomer. To obtain an operational viscosity of the formula for spraying, dipping, roll coating, etc., large amounts of multifunctional comonomer are required for the primary function of viscosity control. In addition, the comonomers have 25 important effects on the cure reaction and the properties of the final product. Some of the comonomers have low cure rate, cause shrinkage of the film during curing, and have high costs and a limited shelf life. Multifunctional acrylates are the preferred monomers in radiation-curable systems because 30 of their rapid curing rates and low prices. The common acrylate monomers are volatile and toxic, and have strong odour. Therefore, the trend is to use radiation-curable oligomers with viscosity close to the required application viscosity in order to reduce or eliminate the use of comono-

An object of the present invention therefore was to obtain oligomers with reduced viscosity compared to known



2

oligomers with similar molecular weight.

5

10

30

35

A further object of the invention was to present oligomers which in resin applications needs a lower amount of multifunctional comonomers or none at all, while the resins still have a low viscosity, a high cure rate, an acceptable degree of curing and the final products have good mechanical properties.

A further object of the invention was to offer a process for the production of such oligomers.

The objects of the invention was solved by the hyperbranched polyester, the process for the production of these hyperbranched polyesters and resin comprising them, as claimed in the claims.

Oligomers with a strongly branched structure are a new family of polymers which has been attracting increasing 15 interest for many applications, e.g., in agriculture, medicine, cosmetics, adhesives and coatings. The oligomers are referred to as hyperbranched polyesters with a three-dimensional molecular architecture and possessing starburst topology (D.A. O'Sullivan, Chem. Eng. News, 20 (1993); D.A. 20 Tomalia, A.M. Naylor, and W.A. Goddard III, Angew.Chem. Int. Ed.Engl., 29, 138 (1990). An important structural difference between linear oligomers and hyperbranched polyesters is that a linear oligomer of sufficient molecular weight contains an entanglement of flexible molecular chains, while a hyperbran-25 ched polyester is a compact molecule with many branches which carry a high number of terminal functional groups on each molecule.

With the present invention it was found that hyperbranched polyesters with reactive acrylate double bonds at chain extremities can be used to reduce viscosity, increase reaction rate, and improve adhesion to substrates due to their very special molecular structures. This new kind of molecules modifies the physical and chemical properties both of the resin system and of the final product after curing, thereby facilitating their use in coating and adhesive systems. Further, with the present invention hyperbranched

15

20

25

30

polyesters with high molecular weight can be obtained in a simple process from readily available and inexpensive raw materials.

Hyperbranched polyester research is still fairly new, and to date no commercial products are available. The main difficulties in preparing three-dimensional and ordered hyperbranched polyesters are preserving the regularity and order in the structures, characterization of the products, and separating the products from the excess of reactants. Little work has been performed to prepare adhesive and coating systems with hyperbranched polyesters, especially for radiation curing applications. A series of work on allyl ether maleate hyperbranched polyesters for UV curing coatings have been reported (M.Johansson, E. Malmström, and A.Hult, J.Polym.Sci., Part A: Polym.Chem., 31, 619 (1993); E.Malmström and A.Hult "Hyperbranched polyesters and their Degree of Branching as Determined by ¹³C-NMR", Proceedings of Nordic Polymer Days 1994.).

With the present invention it has been possible to synthesize a series of new hyperbranched (meth)acrylated polyesters with different number of terminal double bonds per molecule. The rheological properties of the resins prepared from the polyesters and the mechanical properties of UV cured films are improved in a pronounced manner compared with known polymers.

Thus, the present invention relates to a hyperbranched polyester of a polyol with 3 to 10 reactive hydroxyl groups, preferably of equivalent reactivity, and an aromatic polycarboxylic anhydride with 2 to 4 carboxyl groups, preferably with 3 carboxyl groups,

each hydroxyl group of the polyol forming an ester linkage with one anhydride group of the polycarboxylic anhydride,

and further glycidyl (meth)acrylate or allyl glycidyl ether forming ester linkages with the remaining carboxyl groups of the anhydride and free hydroxyl groups.

The invention further relates to a hyperbranched

WO 96/07688

5

30

35

4

PCT/SE95/01013

polyester as defined above in which (meth)acrylic anhydride and/or an aliphatic carboxylic anhydride form/s ester linkages with the free hydroxyl groups.

The present invention further relates to a process for the production of a hyperbranched polyester, and hyperbranched polyester obtainable by the process characterized in that it comprises the following steps:

- a) reacting an aromatic polycarboxylic anhydride with 2 to 4 carboxyl groups, preferably 3 carboxyl groups, with a polyol with 3 to 10 reactive hydroxyl groups, preferably of equivalent reactivity, in the presence of an activating agent, the amount of anhydride being at least one mole of anhydride per hydroxyl group in the polyol,
- b) reacting the product from a) with glycidyl (meth)acrylate or allyl glycidyl ether in an amount at least corresponding to one mole of glycidyl (meth)acrylate or allyl glycidyl ether per free carboxylic acid group of the product of a). The process and the polyester obtainable by the process can further comprise the following step:
- c) the product from step b) is further reacted with (meth) acrylic anhydride in an amount sufficient to esterify a part or all of the free hydroxyl groups of the product from step b). The products from step b) or c) can further be reacted with an aliphatic carboxylic anhydride in an amount to esterify a part of or all of the remaining hydroxyl groups of the products.

Two principally different methods have been developed for the synthesis of hyperbranched polymers: a convergent growth approach, where growth begins at the chain ends, and a divergent growth approach, where growth begins at a central core. In the present invention, the hyperbranched polyesters with terminal double bonds were synthesized by controlled stepwise divergent preparation, i.e., the synthesis started at the centre of the hyperbranched polyester. Two or three steps were needed to obtain hyperbranched polyesters with a predetermined number of terminal double bonds located at the surface of the oligomeric sphere. The

10

15

20

25

30

35

reaction scheme for the synthesis of the hyperbranched polyester can be illustrated for a polyol with 4 hydroxyl groups as shown in Figure 1 (B is hydroxyl groups, and D is unsaturated groups). New nomenclature developed by K. L. Wooley, J. M. J. Fréchet and C. J. Hawker, Polymer vol. 35, No. 21, 1994, names the starburst hyperbranched molecule of Figure 1 a dendritic polymer.

In the first reaction step an aromatic carboxylic anhydride with 2 to 4 carboxyl groups, preferably 3 carboxyl groups, and a polyol with 3 to 10 hydroxyl groups and an activating agent were heated to temperatures about or below 100°C, preferably initially to 70 to 80°C and gradually increasing to about 100°C at the end of the reaction, in the presence of a solvent and under inert gas atmosphere, preferably nitrogen atmosphere. The aromatic carboxylic anhydride is most preferably 1,2,4-benzenetricarboxylic anhydride. Suitable polyols are all polyols having 3 to 10 hydroxyl groups and the hydroxyl groups are preferably of equivalent reactivity, which means that the esterification of each hydroxyl group will proceed equally easy to start the building up of the regular molecule. Examples of such polyols are trimethylolpropane, pentaerythritol or a dimer thereof, monoand disaccharides, with pentaerythritol as a preferred embodiment. The amount of added anhydride is at least one mole of anhydride per hydroxyl group of the polyol, but preferably the anhydride is added in an excess amount. An excess of 20 -50 mol % is suitable. An activating agent is used to activate the anhydride group. The activating agent is present in a catalytic amount. As activating agent stannous chloride is a preferred embodiment. A suitable solvent is for example N, Ndimethylformamide (DMF).

For a product mixture of 1,2,4-benzenetricarboxylic anhydride with pentaerythritol the IR spectrum with residual 1,2,4-benzenetricarboxylic anhydride is shown by curve 1 in Figure 2. Toluene was used to wash the reaction product to remove the excess of 1,2,4-benzenetricarboxylic anhydride until no peaks at 1760 and 1850 cm⁻¹ for anhydride groups

6

could be observed, shown as curve 2 in Figure 2. The wide absorption bands at the range of 2750 to 3400 cm⁻¹ in the IR spectrum indicate the terminal carboxyl groups on the benzene ring. For this product mixture each polyolester of the polycarboxylic acid anhydride has two carboxyl end groups. Three isomer products could be obtained in this system, and the molecular structures are shown in Figure 3. The product is largely a mixture of meta and para isomers of the ester according to the relative reactivity of the anhydride and the carboxyl groups at low reaction temperature. A small amount of ortho isomer is expected to be a hydrolysis and reesterification product of para and meta polyolester formed. The reaction of carboxyl and hydroxyl groups is favoured at elevated temperatures.

10

15

20

25

30

35

The polyolester was further reacted with glycidyl (meth)acrylate or allyl glycidyl ether in an amount at least corresponding to one mole of glycidyl (meth)acrylate or allyl glycidyl ether per free carboxylic acid group of the formed polyester, preferably in an excess amount, i.e. about 5 wt%. Glycidyl acrylate is the preferred reactant. The reaction is carried out in a solvent, such as a mixture of DMF and toluene in the presences of a basic catalyst and an inhibitor for radical polymerization until no carboxyl groups could be detected by end-group titration. As basic catalysts common bases can be used, but benzyl dimethyl amine is preferred. Conventional inhibitors such as hydroquinone is used. The reaction temperature is below 100°C, preferably about 70°C. The IR spectrum of the product is shown as curve 3 in Figure 2. The appearance of the wide absorption at around 3460 $\mbox{cm}^{\mbox{-}1}$ means the formation of hydroxyl groups on the molecular chains due to reaction of epoxy and carboxyl groups. Finally, residual solvent was removed by evacuation at low temperature. The final "starburst" hyperbranched polyester products with double bonds at the end groups are translucent viscous liquids with one hydroxyl group at each end group. The molecular structure, when started with pentaerythritol, is ideally sphere-like, with about 8 end-double bonds. The

WO 96/07688 • • • PCT/SE95/01013

7

principal formula is shown in Figure 4.

5

10

30

35

The hydroxyl groups of the hyperbranched polyester with terminal double bonds were reacted further by ester formation with (meth)acrylic anhydride in an amount sufficient to esterify a part of or all of the free hydroxyl groups in order to prepare the hyperbranched polyester molecules with further end-double bonds. Of the two acrylic anhydrides, the methacrylic anhydride is preferred. The hyperbranched polyester started from pentaerythritol with about 16 end-double bonds with the idealized formula is shown in Figure 5. The IR spectrum of the hyperbranched polyester with about 16 double bonds is shown by curve 4 in Figure 2. Almost no peak for hydroxyl group could be observed.

In a last step the product with end-double bonds is 15 reacted with an aliphatic carboxylic anhydride, preferably acetic anhydride to esterify a part of or all of the remaining hydroxyl groups on the molecular chains for decreasing molecular polarity of the hyperbranched polyester, and improving its compatibility with multifunctional comonomers. 20 This esterification can also be made of the hydroxyl groups of the hyperbranched polyester before the previous reaction with (meth)acrylic anhydride, thus omitting the further introduction of (meth) acrylic double bonds. The final hyperbranched polyester products with terminal double bonds after 25 modifying, prepared in the present invention are fairly transparent colourless viscous liquids. As shown in Figure 4 and 5 the double bonds are in end groups, located at the surface of the oligomeric sphere, which is highly favourable for UV cure.

The polydispersity measured by GPC analysis (Figure 6) varied from 1,4 to 1,9 at different reactant ratios and reaction temperatures with molecular weights of maximum 2500 obtained, which corresponds to a modified polyester molecule with four main branches. The wide molecular weight distribution is mainly attributed to incomplete reaction between 1,2,4-benzenetricarboxylic anhydride and pentaerythritol, hyperbranched polyester fragmentation during hyperbranched

PCT/SE95/01013 WO 96/07688

8

polyester growth, and to the small excess of glycidyl (meth)acrylate monomer which react further with by-products in the system, responsive to UV irradiation.

The hyperbranched polyester according to the invention can be used as curable resins. The resins are preferably 5 cured by UV or EB radiation and most preferably by UV radiation. The resins have lower viscosity than known oligomer resins and can be used without a comonomer or with lower amounts of comonomer than for conventional oligomer resins. The UV curing of the resin according to the invention is very rapid, down to parts of a second at room temperatur operation. This means a high speed of cure and low energi consumption. The obtained products have a high glass transition temperature, resulting in products of high hardness, for example coatings or laminates with high surface hardness.

10

15

20

25

30

35

The resins are solvent free and can be prepared from 100 % of the hyperbranched polyester according to the invention. The resins can however, also comprise multifunctional monomers. A suitable amount of comonomer is 5 - 20 wt % and the rest 80 - 95 wt % being the hyberbranched polyester. The resins preferably comprise a photofragmenting initiator. The amount of photofragmenting initiator used is in the range 1 - 5 wt % based on the resin. Conventional photofragmenting initiators can be used and a preferred initiator is benzoyl dimethylketol. As multifunctional monomers compounds with reactive double bonds can be used, such as trimethylolpropane tri(meth)acrylate, hexandiol diacrylate, trimethylolpropane triallylether, pentaerythritol tri/tetra-allylether, triallylcyanurate, trimethylolpropane triacrylether, pentaerythritol tetraacrylether. Trimethylolpropane triacrylate being a preferred embodiment.

The resin according to the invention can be used in many different fields of which could be mentioned coatings, adhesives, laminates, foils and thin films and fibre-reinforced composites.

The invention will now be illustrated with the following examples which however, are not intended to

restrict the invention. With parts and per cent are meant parts per weight and weight-%, if nothing else is mentioned.

The following chemicals are used:

Pentaerythritol [PETL]

1,2,4-Benzenetricarboxylic Anhydride [BTCA]

Glycidyl Acrylate [GA]

Methacrylic Anhydride [MAA]

Acetic Anhydride [AA]

10 Stannous Chloride [SC]
Benzyldimethylamine [BDMA]
Hydroquinone [HQ]
Dimethyl Formamide [DMF]
Trimethylolpropane Triacrylate (TMPTA)
15 Benzoyldimethylketol (BDK)

Example 1

92,2 g (0.48 mol) BTCA is dissolved in 100 ml DMF at 80°C. 10.9 g (0.08 mol) PETL and 0.1 g (0.1 wt%) SC are 20 added, and the solution is kept at 80°C for 8 hours, and then heated to 100°C for 10 hours under N_2 . Most of DMF is distilled off in vacuum and toluene is poured into the product to dissolve the residual BTCA. The product is washed with toluene until no BTCA is detected in IR spectra (1760 and 1850 cm⁻¹ for anhydride groups). 57.2 g polyolester is 25 obtained, as a white powder, with the yield of about 79 % after completely removing the solvents. The acid number of 475 mg KOH/g polyester is determined by titration with 0.1 N KOH. 65.09 g (0.508 mol with a 5 % excess) GA is added slowly drop by drop at 70°C together with 5 g (2.5 %) BDMA as cata-30 lyst and 1000 ppm HQ as inhibitor, dissolved in 70 ml DMF. After 7 hours at 70°C no carboxyl groups can be detected by titration. DMF is distilled off in vacuum. The product is a viscous liquid with maximum 8 acrylate and 8 hydroxyl groups per molecule. This product is called D-10H. 35

12.4 g (0.121 mol) AA is added to 40.8 g D-10H in 50 ml DMF which reacts with about 6 hydroxyl groups per

PCT/SE95/01013

molecule (averaged about 2 OH groups per molecule remain). The product solution is then heated for 2 hours at 70°C and DMF is distilled off in vacuum. The obtained product, called D-1, is a viscous liquid with about 8 acrylate groups and 2 hydroxyl groups per molecule.

Example 2

5

10

20

30

35

40.8 g D-10H is dissolved in 50 ml DMF with 500 ppm HQ and 12.4 g (0.08 mol) MAA and 4.1 g (0.04 mol) AA are added slowly, drop by drop at 70°C for two hours to react with the hydroxyl groups. DMF is removed by vacuum distillation. The product, called D-2, is a viscous liquid with about 12 (meth) acrylate groups and 2 hydroxyl groups per molecule.

15 Example 3

40.8 g D-10H is dissolved in 50 ml DMF with 500 ppm HQ as inhibitor. 24.8 g MAA (0.161 mol) is added and heated to 70°C. After 2 hours the reaction is complete. DMF is removed by vacuum distillation. The product, called D-3, is a viscous liquid with about 8 acrylate and 8 methacrylate groups per molecule.

The dynamic viscosity for the products from Examples 1 to 3 was measured as a function of frequency for the hyperbranched polyesters with different numbers of double bonds, as shown in Figure 7. The hyperbranched polyester D-1-OH, according to Example 1, with about 8 double bonds and without acetic anhydride modification, has the highest viscosity due to the many hydroxyl groups in the system, resulting in intermolecular hydrogen bonding (hyperbranched polyester aggregation). At decreasing hydroxy functionality, the dynamic viscosities of the hyperbranched polyester largely decrease in order of D-1, D-2 and D-3, according to Examples 1 to 3, at lower frequency. D-3 has the lowest viscosity compared with D-1 and D-2 because of the high number of double bonds (no remaining hydroxyl groups) at the surface of the spherical molecule. This results in increasing symmetry of the hyperbranched molecule, apart from less

11

influence from the hydrogen bonding.

Low viscosity is particularly important in controlling the levelling time of a coating and for evaluation of the processability of a radiation curable coating and adhesive. This is especially the case for 100% solid coating systems for thin films. The oligomer is the principal constituent and primarily responsible for the basic properties of the coating. The viscosity of the oligomer is, therefore, an important parameter since it determines the amount of oligomer required in the final product. For control of the viscosity of the radiation curable resin there are only two parameters, namely the viscosity of the oligomer and the amount of comonomer added.

The principal rheological difference between the branched and the linear polyester molecules lies in the smaller spatial extension of the branched molecule at a given molecular mass. The viscosity of the resin is related to the dynamic extension in space and the segment density within the volume of the molecule. Therefore, the sphere-like starburst (meth) acrylated polyester according to the invention has lower viscosity than the linear polyester.

Flow time measurements are another evaluation of the relative viscosity of various coatings and adhesives, using a viscosimetric cup. The viscosities of the hyperbranched (meth) acrylated polyesters containing 15 wt% trimethylolpropane triacrylate (TMPTA), as comonomer and a conventional epoxy acrylate modified unsaturated polyester (MUP) containing 35 wt% TMPTA at 21°C are compared in Figure 8. It can be seen that the flow times through the viscosimetric cup for hyperbranched polyester resins are much shorter than for MUP resin. This means that the viscosity of MUP is much higher than that of hyperbranched polyester. A practical consequence is that the processability of hyperbranched polyester containing resins is improved due to lower viscosity.

Example 4:

5

10

15

20

25

30

35

3 parts of BDK were dissolved in 100 parts of a

12

mixture of 15 % by weight of TMPTA and 85 % by weight of D-1, D-2 or D-3, the resultant resins were coated on a polyethylene terephtalate (PET) sheet. The test samples were cured in air on a conveyer belt with variable speed by UV irradiation for 0,15 seconds under a 300 W/in (120 W/cm) Model F300 D bulb (Fusion UV Curing Systems) in the exposure chamber.

Example 5:

10

15

20

25

35

The procedure of Example 4 was repeated except that 100 parts of D-1, D-2 or D-3 polyesters are used instead of the mixture of the polyesters and TMPTA.

The irradiation time is varied by changing the speed of the conveyor belt. The polymerization rate of the hyperbranched polyester resins was determined by measuring the irradiation time required to obtain fully tack-free state for the cured film using a cotton bar. The data are given in Figure 9. The resin with oligomer D-3 needs the shortest curing time to get tack-free state.

The glass transition temperatures of the crosslin-ked (meth) acrylated hyperbranched polyesters D-1, D-2 and D-3 according to Example 5 from Dynamic Mechanical Thermal Analysis (DMTA) measurements are given in Figure 10. It can be seen that the glass transition temperature increases rapidly with increasing functionality of the cured hyperbranched oligomer.

The physical properties of the cured material are related to the structure of the crosslinked network. The glass transition temperature, $T_{\rm g}$, is a function of the flexibility of the polymeric chains. If the flexibility decreases, the transition temperature increases. Flexibility is a function of chain structure, crosslinked structure and crosslink density. For the hyperbranched polyesters the spherical shape of the molecules and the high crosslink density due to its high functionality decreases the flexibility of the cured films. Therefore, crosslinked hyperbranched polyester give higher glass transition temperature than crosslinked linear

WO 96/07688 ' ' ' PCT/SE95/01013

13

oligomers as an effect of the structural difference.

The measurements made in the present invention were performed accordingly:

Molecular Weight Distribution

Measurements of molecular weight distribution were performed on a WATERS 410 GPC system equipped with a WISP 712 automated injector. The columns used were μ -Styragel of pore sized: 500, 10^5 , 10^4 , 10^3 , and 100 Ångström with polystyrene standards for calibration and tetrahydrofuran (THF) as solvent.

Dynamic Mechanical Spectroscopy

The dynamic mechanical properties of the hyperbranched polyesters were measured by shear rheometry (Dynamic Analyser RDAII). Viscous liquid samples were examined at 25°C using two parallel plates of 12.5 mm radius with 0.62 to 0.76 μ m gap over a wide range of frequencies. Flow Time

Flow time of the resins with multifunctional monomer added was measured using a viscometric cup of number 68 for comparison of the viscosity of the hyperbranched polyesters with that of modified linear unsaturated polyester.

IR spectra

15

20

25

Infra-red spectra of the polyesters at different reaction stages were recorded on a Perkin-Elmer Model 1710 Fourier Transform Spectrometer prepared as pressed KBr solid disk or smeared as an acetone solution of the resin on a NaCl prism to form a thin film.

Thermomechanical analysis

Dynamic Mechanical Thermal Analyser (DMTA, Polymer Laboratories MK II) was used to measure glass transition temperature (T_g) at the range of 40 to 250°C and 1 Hz frequency of completely UV cured films without TMPTA added.

10

15

20

30

35

Claims

1. Hyperbranched polyester of a polyol with 3 to 10 reactive hydroxyl groups, preferably of equivalent reactivity, and an aromatic polycarboxylic anhydride with 2 to 4 carboxyl groups, preferably 3 carboxyl groups,

each hydroxyl group of the polyol forming an ester linkage with one anhydride group of the polycarboxylic anhydride,

and further glycidyl (meth)acrylate or allyl glycidyl ether forming ester linkages with the remaining carboxyl groups of the anhydride and free hydroxyl groups.

2. Hyperbranched polyester of a polyol with 3 to 10 reactive hydroxyl groups, preferably of equivalent reactivity, and an aromatic polycarboxylic anhydride with 2 to 4 carboxyl groups, preferably 3 carboxyl groups,

each hydroxyl group of the polyol forming an ester linkage with one anhydride group of the polycarboxylic anhydride,

and glycidyl (meth)acrylate or allyl glycidyl ether forming ester linkages with the remaining carboxyl groups of the anhydride and free hydroxyl groups,

and further (meth)acrylic anhydride and/or an aliphatic carboxylic anhydride forming ester linkages with the free hydroxyl groups.

- 3. Hyperbranched polyester obtainable by a process comprising the following steps:
 - a) reacting an aromatic polycarboxylic anhydride with 2 to 4 carboxyl groups, preferably 3 carboxyl groups, with a polyol with 3 to 10 reactive hydroxyl groups, preferably of equivalent reactivity, in the presence of an activating
 - agent, the amount of anhydride being at least one mole of anhydride per hydroxyl group in the polyol,
 - b) reacting the product from a) with glycidyl (meth)acrylate or allyl glycidyl ether in an amount at least corresponding to one mole of glycidyl (meth)acrylate or allyl glycidyl ether per free carboxylic acid group of the product of a).
 - 4. Hyperbranched polyester according to claim 3,

15

20

25

30

characterized in that activating agent is present in a catalytic amount.

- 5. Hyperbranched polyester according to claim 3, characterized in that the activating agent is SnCl₂.
- 6. Hyperbranched polyester obtainable by a process comprising the steps a) and b) of claim 3 and the further step:
 - c) the product from step b) is further reacted with (meth)acrylic anhydride in an amount sufficient to esterify a part or all of the free hydroxyl groups of the product from step b).
 - 7. Hyperbranched polyester obtainable by a process comprising the steps a) and b) of claim 3 or the steps a), b) and c) from claim 6 and the further step that the product from step b) or c) is further reacted with an aliphatic carboxylic anhydride in an amount to esterify a part of or all of the remaining hydroxyl groups of the products.
 - 8. Hyperbranched polyester according to claims 2, 6 and 7, characterized in that the (meth)acrylic anhydride and the aliphatic carboxylic anhydride forming ester linkages with the free hydroxyl groups is methacrylic anhydride and acetic anhydride.
 - 9. Hyperbranched polyester according to any of claims 1 to 8, characterized in that the polyol is pentaerythritol and the aromatic polycarboxylic anhydride is 1,2,4-benzenetricarboxylic anhydride and the glycidyl (meth) acrylate is glycidyl acrylate.
 - 10. Hyperbranched polyester according to any of claims 1 to 9, characterized in that the hyperbranched polyester is a dendritic polyester or a crosslinked dendritic polyester.
 - 11. A process for the production of a hyperbranched polyester, characterized in that it comprises the following steps:
- a) reacting an aromatic polycarboxylic anhydride with 2 to 4 carboxyl groups, preferably 3 carboxyl groups, with a polyol with 3 to 10 reactive hydroxyl groups, preferably of

15

20

16

equivalent reactivity, in the presence of an activating agent, the amount of anhydride being at least one mole of anhydride per hydroxyl group in the polyol,

- b) reacting the product from a) with glycidyl (meth)acrylate or allyl glycidyl ether in an amount at least corresponding to one mole of glycidyl (meth)acrylate or allyl glycidyl ether per free carboxylic acid group of the product of a).
- 12. A process according to claim 11, characterized in that the activating agent is present in a catalytic amount.
- 13. A process according to claim 11, characterized in that the activating agent is $SnCl_2$.
- 14. A process according to claim 11, characterized in that in step a) the reaction mixture is heated to a temperature below 100°C.
- 15. A process according to claim 11, characterized in that the amount of anhydride in step a) and of acrylate in step b) are in excess of the stated amount.
- 16. A process according to claim 11, characterized in that the reaction of step b) is made in the presence of a basic catalyst and an inhibitor for radical polymerization.
 - 17. A process according to claim 16, characterized in that the basic catalyst is benzyldimethyldiamine.
- 18. A process according to claim 11, characterized
 25 in that it further comprises the following step:
 c) the product from step b) is further reacted with
 (meth)acrylic anhydride in an amount sufficient to esterify a
 part or all of the free hydroxyl groups of the product from
 step b).
- 19. A process according to claim 18, characterized in that the anhydride is methacrylic anhydride.
 - 20. A process according to claims 11 or 18, characterized in that the product from step b) or c) is further reacted with an aliphatic carboxylic anhydride in an amount to esterify a part of or all of the remaining hydroxyl groups of the products.
 - 21. A process according to claim 20, characterized

17

in that the aliphatic carboxylic anhydride is acetic anhydride.

- 22. A process according to any of claims 11 to 21, characterized in that the polyol is pentaerythritol and the aromatic polycarboxylic anhydride is 1,2,4-benzenetricarboxylic anhydride and the glycidyl (meth) acrylate is glycidyl acrylate.
- 23. A process according to any of claims 11 to 22, characterized in that the hyperbranched polyester is a dendritic polyester or a crosslinked dendritic polyester.
- 24. A curable resin characterized in that it comprises a hyperbranched polyester as defined in any of claims 1 to 10.

10

- 25. A curable resin according to claim 24,
 15 characterized in that it further comprises a photofragmenting initiator.
 - 26. A curable resin according to claim 24 or 25, characterized in that it comprises a multifunctional monomer.
- 27. A curable resin according to claim 26 20 characterized in that the multifunctional monomer is trimethylolpropane triacrylate.
 - 28. A curable resin according to any of claims 24 to 27, characterized in that it is curable with UV irradiation.
- 29. Use of a curable resin according to any of claims 24 to 28 for the production of coatings, adhesives, laminates, foils and thin films and fibre-reinforced composites.

Figure 1 Reaction scheme for the synthesis of the hyperbranched polyester.

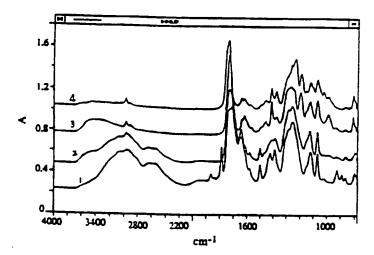


Figure 2 IR spectra of the products at different reaction stages.

Figure 3 Molecular structure of the three polyolester isomers.

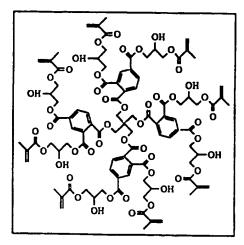


Figure 4 Idealized formula of the hyperbranched methacrylated polyester with 8 double bonds.

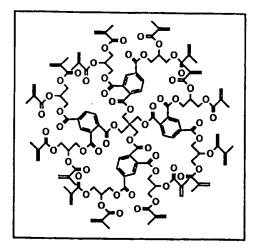
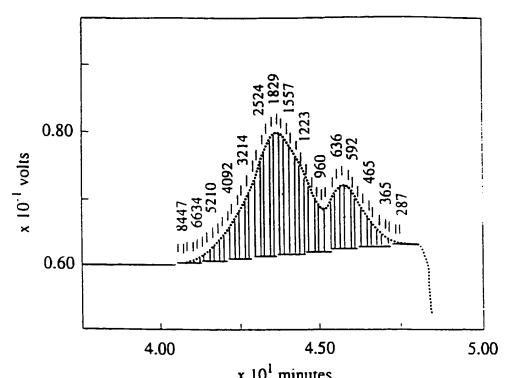


Figure 5 Idealized formula of the hyperbranched methacrylated polyester with 16 double bonds.



 $$x\ 10^{1}$$ minutes Figure 6 Molecular mass distribution of the hyperbranched methacrylated polyester D-1 analyzed with GPC analysis.

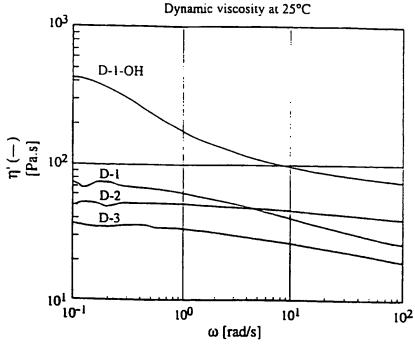


Figure 7 Dynamic viscosity of the hyperbranched (meth)acrylated polyesters.

SUBSTITUTE SHEET

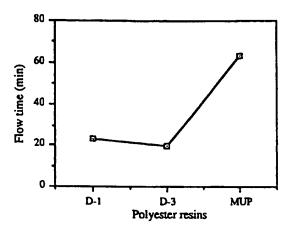


Figure 8 Flow time of hyperbranched polyesters and MUP using viscometric cup

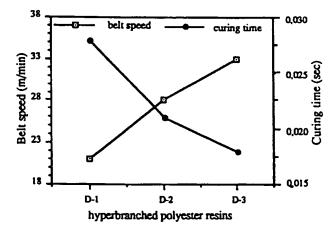


Figure 9 Curing time and belt speed to tack-free state for UV cured polyester films

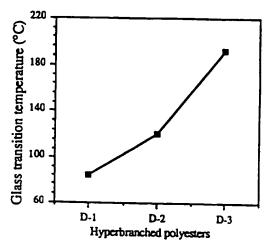


Figure 10 Glass transition temperatures of the cured hyperbranched polyesters.

INTERNATIONAL SEARCH REPORT

International application No. PCT/SE 95/01013

A. CLASSIFICATION O	F SUBJECT MATTER				
IPC6: COSG 63/20,	IPC6: C08G 63/20, C08G 63/78, C08J 3/28 According to International Patent Classification (IPC) or to both national classification and IPC				
B. FIELDS SEARCHED					
	ched (classification system followed by	classification symbols)			
IPC6: CO8G, CO8J		the state of the s	she Golde essenhed		
		extent that such documents are included in	the lefts serving		
SE,DK,FI,NO classe		of day have and whose practicable courch	terms used)		
Electronic data base consulted	during the international search (name	of data base and, where practicable, search			
REGISTRY, CAPLUS,	WPI, EPODOC				
	SIDERED TO BE RELEVANT				
Category* Citation of doc	Citation of document, with indication, where appropriate, of the relevant passages				
A WO 9318079	WO 9318079 A1 (DSM N.V.), 16 Sept 1993 (16.09.93)				
WO 9317060 (02.09	WO 9317060 A1 (PERSTORP AB), 2 Sept 1993 (02.09.93)				
Further documents are listed in the continuation of Box C. X See patent family annex.					
• Special categories of cited documents: To later document published after the international filing date or priority date and not in conflict with the application but cited to understand					
to be of particular relevance	ument defining the general state of the art which is not considered the principle or theory underlying the invention of particular relevance				
"L" document which may throw	ier document but published on or after the international filing date "X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone				
special reason (as specified	ted to establish the publication date of another citation or other edial reason (as specified) "Y" document of particular relevance: the considered to involve an inventive step				
"P" document published prior t	to the international filing date but later than	combined with one or more other such being obvious to a person skilled in the "&" document member of the same patent	he art		
Date of the actual completion of the international search Date of mailing of the international search report					
Date of the actual comple	Edit of Rig Historian come of	29 -12- 1995			
14 December 1995		-			
Name and mailing address	s of the ISA/	Authorized officer			
Swedish Patent Office Box 5055, S-102 42 STOCKHOLM		Sofia Nikolopoulou			
Box 5055, 5-102 42 STOCKHOUM Factimile No. +46 8 666 02 86		Telephone No. +46 8 782 25 00			

INTERNATIONAL SEARCH REPORT

Information on patent family members

30/10/95

International application No.
PCT/SE 95/01013

Patent document cited in search report		Publication date	Patent family member(s)		Publication date	
10-A1-	9318079	16/09/93	CN-A-	1076702	29/09/93	
			NL-A-	9200416	01/10/93	
O-A1-	9317060	02/09/93	AU-A-	3653093	13/09/93	
			CA-A-	2117486	02/09/93	
			EP-A-	0597042	18/05/94	
			EP-A-	0630389	28/12/94	
			JP-T-	6507512	25/08/94	
			JP-T-	7504219	11/05/95	
			SE-B,C-	468771	15/03/93	
			SE-A-	9200564	15/03/93	
			US-A-	5378886	03/01/95	
			US-A-	5418301	23/05/95	

Form PCT/ISA/210 (patent family annex) (July 1992)

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:	
☐ BLACK BORDERS	
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES	
FADED TEXT OR DRAWING	
☐ BLURRED OR ILLEGIBLE TEXT OR DRAWING	
☐ SKEWED/SLANTED IMAGES	
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS	
GRAY SCALE DOCUMENTS	
LINES OR MARKS ON ORIGINAL DOCUMENT	
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY	

IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.